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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/931,149
	Filing Date	August 16, 2001
	First Named Inventor	David Rios, et al.
	Group Art Unit	2164
	Examiner Name	not yet known
Total Number of Pages in This Submission	Attorney Docket Number	016422/142

### ENCLOSURES (check all that apply)

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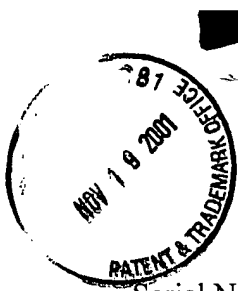
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Donna L. Angotti
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Date	November 16, 2001

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 09/931,149  
Title: METHOD AND SYSTEM FOR MANAGING A MORTGAGE-  
BACKED SECURITIES INDEX  
Applicant: David RIOS, et al.  
Filed: August 16, 2001  
Examiner: Not yet known  
Group Art Unit: 2164  
Docket No.: 016422/142

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The enclosed Information Disclosure Statement was originally taken to the U.S. Post Office for Express Mailing on November 16, 2001; however, the U.S. Post Office has advised the undersigned that Express Mail addressed to Washington, D.C., zip code 20231, is no longer being accepted until further notice.

In a telephone conversation with Examiner David Bruce of the U.S. International Receiving Office, the undersigned was advised that this paper, signed by an attorney listed on the Declaration and Power of Attorney on record, together with the originally rejected papers to be filed, would satisfy the Patent and Trademark mailing requirements and nullify missed deadlines directly resulting from the temporary mailing restriction.

Accordingly, enclosed with this statement is the Information Disclosure Statement, the accompanying transmittal papers (dated November 16, 2001), together with a copy of the Express Mail Label indicated on the November 16 mailing certificate, and a new Certificate of Express Mailing indicating today's date and the new Express Mail Label Number.


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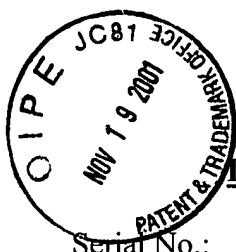
If there is any further requirement necessary to maintain the pendency of this Application, the Examiner is respectfully requested to contact the undersigned so that the disparity can be remedied.

Respectfully submitted,

SCHULTE ROTH & ZABEL LLP  
Attorneys for Applicants  
919 Third Avenue  
New York, New York 10022  
(212) 756-2000

Dated: November 19, 2001  
New York, New York

By:   
Donna L. Angotti  
Reg. No. 32,679



8P/2164

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 09/931,149

Title: METHOD AND SYSTEM FOR MANAGING A MORTGAGE-  
BACKED SECURITIES INDEX

Applicant: David RIOS, et al.

Filed: August 16, 2001

Examiner: Not yet known

Group Art Unit: 2164

Docket No.: 016422/142

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Respectfully submitted,  
SCHULTE ROTH & ZABEL LLP  
Attorneys for Applicants  
919 Third Avenue  
New York, New York 10022

By:   
Donna L. Angotti  
Reg. No. 32,679

Dated: November 19, 2001  
New York, New York



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 09/931,149

Title: METHOD AND SYSTEM FOR MANAGING A MORTGAGE-  
BACKED SECURITIES INDEX

Applicant: David RIOS, et al.

Filed: August 16, 2001

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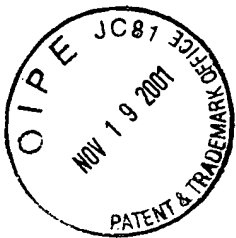
Name: Donna L. Angotti

Signature: *Donna L. Angotti*

Respectfully submitted,  
SCHULTE ROTH & ZABEL LLP  
Attorneys for Applicants  
919 Third Avenue  
New York, New York 10022

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